



In re Application of: S. Bhattacharya, et al.  
Serial No.: 09/971,755  
Filed: October 5, 2001  
Confirmation No. 4239

Docket No. JP920010146US1  
Group Art Unit: 2171  
Dated: December 13, 2001

For: A METHOD OF MAINTAINING DATA CONSISTENCY IN A LOOSE  
TRANSACTION MODEL

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants  
referencing application Serial No. 09/971,755 as originally filed on October 5, 2001.  
Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 50-0629 in the amount of \$130.00 for the  
surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By Stephen C. Kaufman  
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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Patent Application of: S. Bhattacharya, et al.

Confirmation No. 4239

Serial No.: 09/971,755

Examiner:

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Group Art: 2171

For: A METHOD OF MAINTAINING DATA CONSISTENCY IN A LOOSE TRANSACTION MODEL

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Nonprovisional Application
3. Response to Notice to File Missing Parts
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form
6. Information Disclosure Statement
7. PTO-1449 with References

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on December 13, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920010146US1



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APPLICATION NUMBER 09/971,755	FILING/RECEIPT DATE 10/05/2001	FIRST NAMED APPLICANT Suparna Bhattacharya	ATTORNEY DOCKET NUMBER JP920010146US1
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CONFIRMATION NO. 4239

FORMALITIES LETTER



\*0C000000007046998\*

Date Mailed: 11/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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